

PATENT APPLICATION/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Steve CHICK

2920-012194

PCT/AU00/00808

ENTITLED

"A PLECTRUM FOR A STRING INSTRUMENT, A TRANSMITTER/RECEIVER
ARRANGEMENT AND A SIGNAL PROCESSING APPARATUS"

To BOX PCT

Attention: DO/EO/US

Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL561555532US

Date of Deposit January 4, 2002

I hereby certify that the following attached papers or fees

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER
35 U.S.C. 371 (original and two (2) copies) and check in amount
of \$831.00 for filing fee and surcharge fee for late Declaration
LETTER RECOGNIZING ATTORNEYS
PRELIMINARY AMENDMENT with ABSTRACT
WO 01/03107 - FRONT PAGE WITH ABSTRACT, SPECIFICATION,
CLAIMS, DRAWINGS and SEARCH REPORT (49 pp.)
INTERNATIONAL PRELIMINARY EXAMINATION REPORT
with ANNEX (10 pp.)**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)

Nora Ann Pastrick

(Signature of person mailing paper or fee)

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-95)		ATTORNEY'S DOCKET NUMBER 2920-012194
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/019984
INTERNATIONAL APPLICATION NO. PCT/AU00/00808	INTERNATIONAL FILING DATE 05.07.00 (July 05, 2000)	PRIORITY DATES CLAIMED 06.07.99 (July 06, 1999)
TITLE OF INVENTION A PLECTRUM FOR A STRING INSTRUMENT, A TRANSMITTER/RECEIVER ARRANGEMENT AND A SIGNAL PROCESSING APPARATUS		
APPLICANT(S) FOR DO/EO/US Steve CHICK		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> WO 01/03107-Front Page with Abstract, Specification, Claims, Drawings and Search Report (49 pp.) International Preliminary Examination Report and Annex (10 pp.) 		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/019984</div>	INTERNATIONAL APPLICATION NO. PCT/AU00/00808	ATTORNEY'S DOCKET NUMBER 2920-012194			
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00 <div style="text-align: right; margin-top: 10px;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		<div style="border: 1px solid black; padding: 5px;"> CALCULATIONS PTO USE ONLY </div>			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<div style="border: 1px solid black; padding: 5px;"> \$ 1,040.00 </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> \$ 130.00 </div>			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	38 - 20	18	X \$18.00	\$ 324.00	
Independent claims	5 - 3 =	2	X \$84.00	\$ 168.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,662.00	
Reduction of 1/2 for filing by small entity, if applicable. The above applicant is entitled to claim Small Entity Status in the United States.				\$ 831.00	
SUBTOTAL =				\$ 831.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 831.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 831.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 831.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0650</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Russell D. Orkin 700 Koppers Building 436 Seventh Avenue Pittsburgh, Pennsylvania 15219-1818 Telephone: (412) 471-8815 Facsimile: (412) 471-4094					
<div style="text-align: center;">SIGNATURE</div> <div style="text-align: center;">Russell D. Orkin</div> <div style="text-align: center;">NAME</div> <div style="text-align: center;">25,363</div> <div style="text-align: center;">REGISTRATION NUMBER</div>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Steve CHICK : A PLECTRUM FOR A STRING INSTRUMENT
: A TRANSMITTER/RECEIVER ARRANGEMENT
International Application : AND A SIGNAL PROCESSING APPARATUS
No. PCT/AU00/00808 :
International Filing Date :
05 July 2000 :
Priority Date Claimed :
06 July 1999 :
Serial No. Not Yet Assigned :
Filed Concurrently Herewith :

Pittsburgh, Pennsylvania
January 4, 2002

LETTER RECOGNIZING ATTORNEYS

BOX PCT
Commissioner for Patents
Washington DC 20231

Sir:

Enclosed are appropriate papers for initiating the national phase of the above-identified PCT application, comprising a specification, claims, drawings and search report. A Preliminary Amendment is also enclosed.

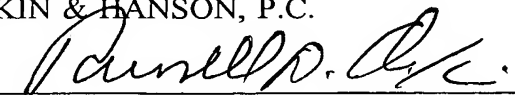
Please accept the application for purposes of granting a filing date and recognize Russell D. Orkin, Blynn L. Shideler and Paul M. Reznick, Registration Nos. 25,363, 35,034 and 33,059, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to **Russell D. Orkin.**

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

By



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